

MAR 25 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#4

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 65.00)

Complete if Known	
Application Number	10/015,346
Filing Date	December 12, 2001
First Named Inventor	Michael D. Hooven
Examiner Name	
Group Art Unit	3739
Attorney Docket No.	HOOV 115

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																															
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 50/1039</p> <p>Deposit Account Name Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65 Surcharge - late filing fee or oath</td> <td>65.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,280</td> <td>241</td> <td>640 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,280</td> <td>242</td> <td>640 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>460</td> <td>243</td> <td>230 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>620</td> <td>244</td> <td>310 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50 Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180 Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40 Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>740</td> <td>246</td> <td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>740</td> <td>249</td> <td>370 For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>740</td> <td>279</td> <td>370 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="2">*or number previously paid, if greater; For Reissues, see above</td> <td colspan="2">Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$ 65.00)</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		105	130	205	65 Surcharge - late filing fee or oath	65.00	127	50	227	25 Surcharge - late provisional filing fee or cover sheet		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for ex parte reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month		116	400	216	200 Extension for reply within second month		117	920	217	460 Extension for reply within third month		118	1,440	218	720 Extension for reply within fourth month		128	1,960	228	980 Extension for reply within fifth month		119	320	219	160 Notice of Appeal		120	320	220	160 Filing a brief in support of an appeal		121	280	221	140 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive - unavoidable		141	1,280	241	640 Petition to revive - unintentional		142	1,280	242	640 Utility issue fee (or reissue)		143	460	243	230 Design issue fee		144	620	244	310 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	50	123	50 Processing fee under 37 CFR 1.17(q)		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)		146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		Other fee (specify) _____					*or number previously paid, if greater; For Reissues, see above		Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 65.00)
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																																																														
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)																																																																																																																																																															
105	130	205	65 Surcharge - late filing fee or oath	65.00																																																																																																																																																													
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																														
139	130	139	130 Non-English specification																																																																																																																																																														
147	2,520	147	2,520 For filing a request for ex parte reexamination																																																																																																																																																														
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																														
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																														
115	110	215	55 Extension for reply within first month																																																																																																																																																														
116	400	216	200 Extension for reply within second month																																																																																																																																																														
117	920	217	460 Extension for reply within third month																																																																																																																																																														
118	1,440	218	720 Extension for reply within fourth month																																																																																																																																																														
128	1,960	228	980 Extension for reply within fifth month																																																																																																																																																														
119	320	219	160 Notice of Appeal																																																																																																																																																														
120	320	220	160 Filing a brief in support of an appeal																																																																																																																																																														
121	280	221	140 Request for oral hearing																																																																																																																																																														
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																														
140	110	240	55 Petition to revive - unavoidable																																																																																																																																																														
141	1,280	241	640 Petition to revive - unintentional																																																																																																																																																														
142	1,280	242	640 Utility issue fee (or reissue)																																																																																																																																																														
143	460	243	230 Design issue fee																																																																																																																																																														
144	620	244	310 Plant issue fee																																																																																																																																																														
122	130	122	130 Petitions to the Commissioner																																																																																																																																																														
123	50	123	50 Processing fee under 37 CFR 1.17(q)																																																																																																																																																														
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																														
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																														
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																														
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																														
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																																																														
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																														
Other fee (specify) _____																																																																																																																																																																	
*or number previously paid, if greater; For Reissues, see above		Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 65.00)																																																																																																																																																													
SUBMITTED BY		Complete (if applicable)																																																																																																																																																															
Name (Print/Type)	Stephen B. Heller	Registration No. (Attorney/Agent)	30,181	Telephone (312) 236-8500																																																																																																																																																													
Signature	Stephen B. Heller	Date	March 13, 2002																																																																																																																																																														

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/015,346
		Filing Date	December 12, 2001
		First Named Inventor	Michael D. Hooven
		Group Art Unit	3739
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	HOOV 115

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply - Preliminary	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> - Return Postcard - Part 2 of Notice To File Missing Parts - Request For Corrected Filing Receipt - Copy of Filing Receipt Showing Requested Changes
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application - Declaration	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen B. Heller
Signature	
Date	March 13, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Christine A. Barglik
Signature	
Date	March 13, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



O I P E
MAR 25 2002
PATENT & TRADEMARK OFFICE

44 Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,346	12/12/2001	Michael D. Hooven	HOOV 115

26568
COOK, ALEX, MCFARRON, MANZO, CUMMINGS & MEHLER LTD
SUITE 2850
200 WEST ADAMS STREET
CHICAGO, IL 60606

CONFIRMATION NO. 1028

FORMALITIES LETTER



OC00000007520487

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

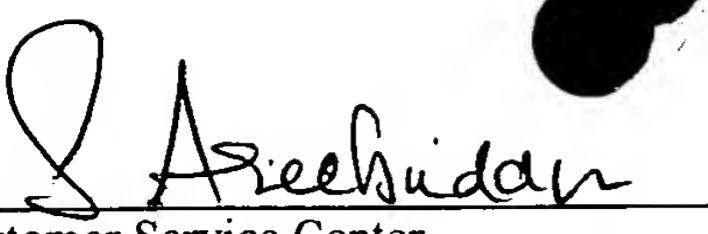
- # 12
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
 - **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


Areebuddin

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SCANNED, # 12



PATENT
Attorney Docket No. HOOV 115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,346

Filed: December 12, 2001

Examiner:

Art Unit: 3739

For: TRANSMURAL ABLATION DEVICE WITH
CURVED JAWS

) I hereby certify that this correspondence
) is being deposited with the United States
) Postal Service as first class mail in an
) envelope addressed to:

)) Commissioner for Patents
) Washington, D.C. 20231 on:

)) on March 13, 2002

Christine A. Barglik

Christine A. Barglik

)) Date: March 13, 2002

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

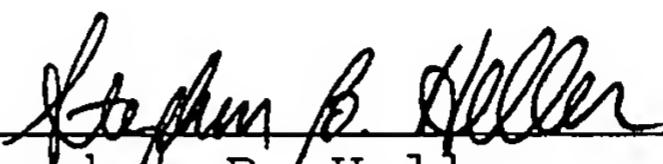
Dear Sir:

There is an error in the Filing Receipt received for the above-identified patent application.

The Filing Receipt incorrectly indicates the Domestic Priority data as claimed by the applicant. This application is a DIV OF 10/038,506, filed November 9, 2001, which is a CIP of 10/032,378, filed October 26, 2001, which is a CIP of 09/844,225, filed April 27, 2001, which is a CIP of 09/747,609, filed December 22, 2000 and claims benefit of 60/200,072, filed April 27, 2000. Correction of the Filing Receipt is respectfully requested.

A copy of the Filing Receipt indicating the requested corrections is attached.

Respectfully submitted,



Stephen B. Heller
Registration No. 30,181

COOK, ALEX, McFARRON, MANZO,
CUMMINGS & MEHLER, LTD.
200 West Adams Street - Suite 2850
Chicago, IL 60606
(312) 236-8500

2025 RELEASE UNDER E.O. 14176